SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					e invest	ment	Company Act o	11940					
1. Name and Addre Hargis Jonat	ess of Reporting Personnant han	2. Issuer Name and Ticker or Trading Symbol <u>CHARTER COMMUNICATIONS, INC.</u> / <u>MO/</u> [CHTR]							tionship of Reporting all applicable) Director Officer (give title below)	10% (Owner (specify		
(Last)	(First)	(Middle)	F	3. Date of Earliest Transaction (Month/Day/Year)							EVP/Chief Ma		,
C/O CHARTEF	R COMMUNICAT		08/20/2021							2,17,0110110			
400 WASHING	TON BLVD.		F										
				4. If Amendment, Date	of Origi	nal Fil	ed (Month/Day	/Year)		6. Indiv Line)	idual or Joint/Group	Filing (Check A	pplicable
(Street)										X	Form filed by One	e Reporting Pers	on
STAMFORD	CT	06902									Form filed by Mor Person	e than One Rep	orting
(City)	(State)	(Zip)											
		Table I - I	Non-Deriva	tive Securities A	cquire	ed, D	isposed of	, or B	enefic	ially (Dwned		
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/V				Execution Date,	3. Transa Code (8)					1 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
1				Code	v	Amount	(A) or	Price		Transaction(s)			

				(D)		(Instr. 3 and 4)		
Class A Common Stock 08/2	/20/2021	М	15,350 ⁽¹⁾	Α	\$221.248	23,254	D	
Class A Common Stock 08/2	/20/2021	F	9,694 ⁽²⁾	D	\$796.239	13,560	D	
Class A Common Stock 08/2	/20/2021	S	5,656	D	\$794.746 ⁽³⁾	7,904	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/Y	ate	e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options	\$221.248	08/20/2021		М			15,350 ⁽¹⁾	(1)	06/17/2026	Class A Common Stock	15,350	\$0	0	D	

Explanation of Responses:

1. Exercise of portion of stock options granted on June 17, 2016 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan: 45,209 shares subject to the attainment of a \$289.76 pershare hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$364.97 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$465.58 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$466.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$564.04 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$465.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$564.04 per-share hurdle (with a 10-year term). One third of the stock options were first eligible to vest on each of the third, fourth and fifth anniversaries of the date of grant subject to achievement of the applicable per-share price hurdles. Any options that had not vested within 6 years from the date of grant would have been cancelled.

2. Withholding of securities for the purpose of paying the exercise price and paying taxes.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$794.08 to \$794.98, inclusive. The reporting person undertakes to provide Charter Communications, Inc., any security holder of Charter Communications, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

<u>/s/Daniel J. Bollinger as</u> <u>attorney-in-fact for Jonathan</u> <u>Hargis</u>

** Signature of Reporting Person

Date

08/24/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.