FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | | | . , | | | | | | | | | | | | |
|---|--|--|---|--|--|---|---|-------|--|---|--|-----------------|--------------------------|--------------------------------------|---|--|---|------------------|--|
| 1. Name ar Newho | 2. Issuer Name and Ticker or Trading Symbol CHARTER COMMUNICATIONS, INC. | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | | | | | |
| | <u>/MO/</u> [CHTR] | | | | | | | | | Λ | | | | | | | | | |
| (Last) (First) (Middle) C/O CHARTER COMMUNICATIONS, INC. 400 WASHINGTON BLVD. | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/21/2023 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | below | r (give title) | | other (elow) | specify |
| | | | | | | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| (0) | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| (Street) STAMFORD CT 06902 | | | | | Form filed by More than One Reporting Person | | | | | | | | | | | | | orting | |
| (City) (State) (Zip) | | | | | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to sa | | | | | | | | | | | | to satisfy | | |
| | | | the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | | |
| | | To | blo I Non | Dorive | otivo | Coo | urition | ۸ ۵ ۵ | uirad C | \ion | d | of or D | onofici | ally | 0 | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature | | | | | | | | | | | | | | | | | | | |
| '''' ''' | | | | 2. Transaction Date (Month/Day/Year) | | ar) E | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code (Instr. | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | and Securit Benefic Owned | | es ially Following | Form: Direct | | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | | | Code | v | Amour | nt (A) | | ce | Reporte Transac (Instr. 3 | tion(s) | | | (Instr. 4) |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| | (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any (Month/Day/Yea | Co | 4. Transactio Code (Inst) 8) | | | | 6. Date Exercisab Expiration Date (Month/Day/Year) | | of Securit Underlyin | | ng e Security | | Price of erivative ecurity nstr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | (D) irect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | 丁 | | | | | | Amount | | 7 | | Transaction((Instr. 4) | (s) | ' | 1 |
| | | | | Co | de \ | v (| A) (D) | | ate xercisable | Exp Date | iration | Title | or Number of Share | | | , | | | |
| Call option (obligation to sell) | \$643.083 | 07/21/2023 | | P. |)/K | | 627,02 | 5 | (1)(2) | (1 | 1)(2) | Common Stock | 627,02 | .5 | (1)(2) | 1,472,975 | 1 | | Indirect Interest in a Partnership ⁽³⁾ (4) |
| Call option (obligation to sell) | \$643.083 | 07/21/2023 | | P | /K | | 179,15 |) | (1)(2) | (1 | 1)(2) | Common Stock | 179,15 | 0 | (1)(2) | 420,850 | 1 | | Indirect Interest in a Partnership ⁽³⁾ (4) |
| Put option (right to sell) | \$445.4935 | 07/21/2023 | | S | 5/K | | 627,02 | 5 | (1)(2) | (1 | 1)(2) | Common Stock | 627,02 | :5 | (1)(2) | 1,472,975 | 1 | | Indirect Interest in a Partnership ⁽³⁾ (4) |
| Put option (right to sell) | \$445.4935 | 07/21/2023 | | S | 5/K | | 179,15 | | (1)(2) | (1 | 1)(2) | Common Stock | 179,15 | 0 | (1)(2) | 420,850 | 1 | | Indirect Interest in a Partnership ⁽³⁾ |

Explanation of Responses:

- 1. On February 4, 2020, Advance/Newhouse Partnership ("A/N") established a credit facility backed by an equity collar transaction and an aggregate of 4,000,000 Class B Common Units of Charter Communications Holdings, LLC ("Units") to provide financial flexibility to support its ongoing estate planning and its investment program and for other general corporate purposes. A/N entered into Share Collar Transactions (the "Transactions") with unaffiliated banks, pursuant to which A/N wrote European call options and purchased European put options over an aggregate of 4,000,000 shares of Class A common stock (the "Common Stock") of Charter Communications, Inc. (the "Issuer"). Under the agreement, the Transactions may be settled in cash unless A/N elects physical settlement, in which case it expects to exchange Units for Class A Common Stock sufficient to satisfy its obligations under the Transactions. A portion of the Transactions was previously unwound.
- 2. On July 21, 2023, A/N early terminated a portion of the Transactions, with expiration dates running from August 12, 2024 to September 5, 2024, inclusive, and an equivalent portion of the corresponding credit facility. The terminated Transactions covered 806,175 shares of Class A Common Stock, and, in connection with this termination, 806,175 Units were released from the related pledge. Pursuant to this termination, the unaffiliated bank counterparties paid approximately \$67.6 million in cash to A/N.
- 3. The Reporting Person, by virtue of his affiliations with Advance Long-Term Management Trust, a New Jersey trust ("ALTMT"), Advance Publications, Inc., a New York corporation ("API"), and Newhouse Broadcasting Corporation ("NBCo"), and affiliation with and interest in other non-controlling holders of equity of API and NBCo, may be deemed to beneficially own the shares of Common Stock and Units owned directly by A/N. ALTMT is the general partner of Newhouse Family Holdings, L.P., a Delaware limited partnership, which owns all of the voting shares of API. API and NBCo indirectly own all of the partnership interests of A/N.
- 4. The Reporting Person disclaims beneficial ownership of the shares of Common Stock and Units owned by A/N and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

<u>/s/ Michael A. Newhouse</u> 07/25/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.