SEC Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT	OF CHANGES	S IN BENEFICIAL	OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Hargis Jonathan				. Issuer Name and Tic <u>CHARTER CO</u> <u>MO/</u> [CHTR]					tionship of Reporting all applicable) Director Officer (give title below)	Owner (specify			
	(Last) (First) (Middle) C/O CHARTER COMMUNICATIONS, INC. 400 WASHINGTON BLVD.				3. Date of Earliest Transaction (Month/Day/Year) 08/03/2021						below arketing Offic	,	
(Street) STAMFORD (City)	CT (State)	06902 (Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)						Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code V		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Class A Commo	n Stock		08/03/2021		М		20,000(1)	A	\$221.248	27,904	D		
Class A Common Stock 08/03/2					F		$12.746^{(2)}$	D	\$764.99	15,158	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

7,254

D

\$764.938(3)

7,904

D

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1			(0.9.	, puis	, oun	5, 1	anunus	, options,	convertio		illes)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	umber of ivative urities uired (A) bisposed D) (Instr. 3, id 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options	\$221.248	08/03/2021		М			20,000 ⁽¹⁾	(1)	06/17/2026	Class A Common Stock	20,000	\$0	15,350	D	

Explanation of Responses:

Class A Common Stock

1. Exercise of portion of stock options granted on June 17, 2016 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan: 45,209 shares subject to the attainment of a \$289.76 pershare hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$364.97 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$465.58 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$466.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$564.04 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$465.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$564.04 per-share hurdle (with a 10-year term). One third of the stock options were first eligible to vest on each of the third, fourth and fifth anniversaries of the date of grant subject to achievement of the applicable per-share price hurdles. Any options that had not vested within 6 years from the date of grant would have been cancelled.

2. Withholding of securities for the purpose of paying the exercise price and paying taxes.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$764.37 to \$765.01, inclusive. The reporting person undertakes to provide Charter Communications, Inc., any security holder of Charter Communications, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

<u>/s/Daniel J. Bollinger as</u> <u>attorney-in-fact for Jonathan</u>

<u>Hargis</u>

than 08/05/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

08/03/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.